# DT07 Rec'd PCT/PTO 2 3 DEC 2004

PTO-1390 (Rev. 12-2004) Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# ATTTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 4385-045944 DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. PCT/EP2003/006589 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 23.06.2003 (June 23, 2003) 25.06.2002 (June 25, 2002) TITLE OF INVENTION Thermal Water Treatment Device and Method APPLICANT(S) FOR DO/EO/US Wolfgang RUECH, Christoph NEUMULLER and Frank SCHRODER Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 15. l A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. L A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (#Lknown, see 37 CFR 1.5)			INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER		
U.S. APPLICATION NO. (#known, see 37 CFR 1.5)			PCT/EP2003/006589		4385-045944		
21. The following fees are submitted:							
a) Basic national fee\$300.00					\$ 300.00		
b) Examin	ation fee	\$ 200.00					
c) Search f	ee	\$ 500.00					
TOTAL OF ABOVE CALCULATIONS = \$1000.00					\$ 1,000.00		
listing or co	ee for specification and d mputer program listing file 0 sheets of paper or fract						
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)					
- 100 =	/50 =			× \$250.00	\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$ 130.00		
CLAIMS	NUMBER FIL	ED	NUMBER EXTRA	RATE	\$		
Total claims	16	- 20 =	0	x \$50.00	\$ 0		
Independent dai	ms 2	- 3 =	0	× \$200.00	\$ 0		
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	plicable)		+ \$360.00	\$ 0		
		CULATIONS =	\$ 1,130.00				
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							
<b>SUBTOTAL =</b> $\$$ 1,130.00							
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							
		\$ 1,130.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property							
		\$ 1,130.00					
					Amount to be refunded:	\$	
					Amount to be charged:	\$	
a. A check in the amount of \$ 1,130.00 to cover the above fees is enclosed.							
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 23-0650 . A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Richard L. Byrne / Whand & Chrym							
Webb Ziesenheim Logsdon Orkin & Hanson, P.C.							
436 Seventh A		Byrne					
700 Koppers 1	Building N 15219-1818						
Telephone: (412) 471-8815					ON NUMBER		
Facsimile: (412) 471-4094  REGISTRATION NUMBER							

FORM PTO-1390 (REV. 12-2004)

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DT15 Rec'd PCT/PTO 2 3 DEC 2004 PATENT APPLICATION/PCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:	ATTORNEY'S DOCKET NUMBER					
Wolfgang RUECH, Christoph NEUMÜLLER and Frank SCHRÖDER	4385-045944					
PCT/EP2003/006589						
ENTITLED						
"THERMAL WATER TRE	ATMENT DEVICE AND METHOD"					

To MAIL STOP PCT
Attention: DO/EO/US
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

#### **EXPRESS MAIL CERTIFICATE**

"Express Mail" Label Number \_\_\_\_EV534973517US

Date of Deposit \_\_\_\_\_ December 23, 2004

I hereby certify that the following attached papers or fees

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/
ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER
35 U.S.C. 371 (original and two (2) copies) and check in amount
of \$1,130.00 for filing fee and surcharge for late Declaration
LETTER RECOGNIZING ATTORNEYS (1 p.)
PRELIMINARY AMENDMENT with ABSTRACT
WO 2004/000733 - FRONT PAGE with ABSTRACT and SEARCH REPORT (4 pp.)
VERIFIED ENGLISH TRANSLATION OF PCT/EP2003/006589 (10 pp.)
VERIFIED ENGLISH TRANSLATION OF ANNEX TO IPER (3 pp.)
FORM PCT/IB/306 - NOTIFICATION OF THE RECORDING OF A CHANGE (1 p.)

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

Nora Ann Pastrick
(Typed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

10/519261 DT15 Rec'd PCT/PT0 2 3 DEC 2004 Customer No. 28289

Application No. Not Yet Assigned Paper Dated: December 23, 2004

In Reply to USPTO Correspondence of N/A

Attorney Docket No. 4385-045944

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

Not Yet Assigned

**Applicants** 

Wolfgang RUECH et al.

Filed

Concurrently Herewith

Title

THERMAL WATER TREATMENT

**DEVICE AND METHOD** 

International Application

No. PCT/EP2003/006589

International Filing Date

23 June 2003

Priority Date Claimed

25 June 2002

:

## MAIL STOP PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# **LETTER RECOGNIZING ATTORNEYS**

Sir:

Enclosed are appropriate papers for initiating the national phase of the aboveidentified PCT application, comprising a specification and claims. A Preliminary Amendment is also enclosed.

Please accept the application for purposes of granting a filing date and recognize, Richard L. Byrne, Russell D. Orkin and Paul M. Reznick, Registration Nos. 28,498, 25,363 and 33,059, respectively, as attorneys in this application, pending the filing of a formal Declaration and Power of Attorney.

Kindly direct all communications relating to this application to Richard L. Byrne.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON ORKIN & HANSON, P.C.

Richard L. Byrne, Reg. No. 28,498

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Pittsburgh, PA 15219-1818 Telephone: 412/471-8815 Facsimile: 412/471-4094

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